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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Sartaf et al.

Serial No.: 09/916,663

For: INTERFACE TO A NETWORK MANAGEMENT SYSTEM OF  
A COMMUNICATION NETWORK

Filed: 26 JUL 2001

Examiner: Apu M. Mofiz

Art Unit: 2175

Confirmation No.: 3478

Customer No.: 27,623

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JUN 22 2004

Technology Center 2100

Attorney Docket No.: US20 01 0040

**AMENDMENT**

**COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450**

Dear Sir:

Applicants are submitting the present document in response to an Office Action dated 11 MAR 2004 (hereinafter "the Office Action"). Please amend the above-noted application as follows:

**Amendments to Claims** begin on page 2 of this paper.

**Remarks** begin on page 7 of this paper.

06/18/2004 JBA/INAN 00000010 501070 09916663

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Sarraf et al.

Serial No.: 09/916,663

For: INTERFACE TO A NETWORK MANAGEMENT SYSTEM  
OF A COMMUNICATION NETWORK

Filed: July 21, 2001

Examiner: Apu M. Mofiz

Art Unit: 2175

Confirmation No.: 3478

Customer No.: 27,623

Attorney Docket No.: US 20 01 0040

**COMMISSIONER FOR PATENTS**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

Dear Sir:

**AMENDMENT TRANSMITTAL**

We are enclosing an Amendment in the above-identified application.

Petition for extension of time pursuant to 37 C.F.R. §§ 1.136 and 1.137 is hereby made if, and to the extent, required. The fee for this extension of time is calculated to be \$ \_\_\_\_\_ to extend the time for filing this response until \_\_\_\_\_.

The fee for any change in number of claims has been calculated as shown below.

CLAIMS AS AMENDED						
	Claims Remaining After Amendment		Highest Number Previously Paid	Present Extra	Rate	
Total Claims	12	Minus	12	0	x \$18.00	\$
Independent Claims	6	Minus	6	0	x \$86.00	\$
MULTIPLE DEPENDENT CLAIM FEE				x \$290.00 = \$		
TOTAL FEE FOR CLAIM CHANGES				\$0.00		
1/2 FILING FEE FOR SMALL ENTITY				\$N/A		

The total fee for this amendment, including claim changes and any extension of time is calculated to be \$ 0.00 .

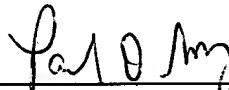
       A check in the amount of \$ 0.00 is attached.

**XXX** Enclosed is a copy of the cover sheet of priority document, transmittal letter dated July 21, 2001 and copy of the return receipt postcard.

**XXX** The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

June 14, 2004

Date



Paul D. Greeley, Esq.

Attorney for Applicant

Registration No. 31,019

Ohlandt, Greeley, Ruggiero & Perle, L.L.P.

One Landmark Square, 10<sup>th</sup> Floor

Stamford, CT 06901-2682

Telephone: (203) 327-4500

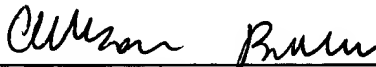
Telefax: (203) 327-6401

**CERTIFICATE OF MAILING**

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, ON JUNE 14, 2004 .

Allison Berkman

NAME



SIGNATURE

6/14/04

DATE



Serial No. 09/916,663

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Attached hereto is a copy of the following documents:

- (1) a cover sheet of priority document EP 00127965.2;
- (2) a transmittal letter that indicates the priority document was mailed on 26 JUL 2001;  
and
- (3) a postcard that indicates submission of the priority document, and that includes a stamp of the USPTO acknowledging receipt thereof.

IN THE U.S. PATENT AND TRADEMARK OFFICE  
Patent Application Transmittal Letter

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JUN 22 2004

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Technology Center 2100

Sir:

Transmitted herewith for filing under ~~37 CFR 1.53(b)~~ is a(n): ☒ Utility ☐ Design

☒ original patent application,

☐ continuation-in-part application

INVENTOR(S): **Alain SARRAF and Uwe NASSAL**

TITLE: **AN INTERFACE TO A NETWORK MANAGEMENT SYSTEM OF A COMMUNICATION NETWORK**

Enclosed are:

**EL793793595US**

☒ The Declaration and Power of Attorney. ☐ signed ☒ unsigned or partially signed

☐ \_\_\_\_\_ sheets of drawings (one set) ☐ Associate Power of Attorney

☐ Form PTO-1449 ☒ Information Disclosure Statement and Form PTO-1449

☒ Priority document(s) ☒ (Other) Preliminary Amendment (fee \$ \_\_\_\_\_)

CLAIMS AS FILED BY OTHER THAN A SMALL ENTITY				
(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) TOTALS
TOTAL CLAIMS	12 — 20	0	X \$18	\$ 0
INDEPENDENT CLAIMS	6 — 3	3	X \$80	\$ 240
ANY MULTIPLE DEPENDENT CLAIMS	0		\$270	\$ 0
BASIC FEE: Design ( \$320.00 ); Utility ( \$710.00 )				\$ 710
TOTAL FILING FEE				\$ 950
OTHER FEES				\$
TOTAL CHARGES TO DEPOSIT ACCOUNT				\$ 950

Charge \$ 950 to Deposit Account 50-1078. At any time during the pendency of this application, please charge any fees required or credit any over payment to Deposit Account 50-1078 pursuant to 37 CFR 1.25. Additionally please charge any fees to Deposit Account 50-1078 under 37 CFR 1.16, 1.17, 1.19, 1.20 and 1.21. A duplicate copy of this sheet is enclosed.

"Express Mail" label no. EL793793595US

Date of Deposit July 26, 2001

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Washington, D.C. 20231.

By

Allison Berkman

Typed Name: Allison Berkman

Respectfully submitted,

**Alain SARRAF and Uwe NASSAL**

By

Paul D. Greeley

Paul D. Greeley

Attorney/Agent for Applicant(s)

Reg. No. 31,019

Date: July 26, 2001

Telephone No.: (203) 327-4500



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JUN 22 2004

Technology Center 2100

Atty. docket #: US 2001 0040

File No. 987.0021USU Application No.: herewith

**SERIAL NUMBER NOTIFICATION**

APPLICATION BRANCH: Please stamp Serial Number assigned to this case and return card to sender.

Applicant(s): Sarraf and Nassal

Title: "An Interface to a Network Management system of a Communication Network"

☒ Total # of Pages of Specification 11 ☐ Verified Statement Claiming Small Entity  
# 8 Sheets of Description ☒ IDS and PTO Form 1449 (w/copies of references)  
# 2 Sheets of Claims ☒ Preliminary Amendment  
# 5 Sheets of Abstract ☐ Provisional Application Cover Sheet  
# 0 Sheets of Drawings ☐ Rule 53(b) Continuation/Divisional  
☐ Combined Declaration & PPA ☐ Other: \_\_\_\_\_  
☐ Assignment w/recording sheet ☒ Transmittal Letter (in duplicate)  
☒ Priority Document

OTLINDT, GREELEY,  
RUGGIERO & PERLE

A Check drawn in the amount of \$ \_\_\_\_\_ (# \_\_\_\_\_) is also attached hereto.

Mailed July 26, 2001 ☐ COM ☒ COEM # EL793793595US





**Europäisches  
Patentamt**

**European  
Patent Office**

**Office européen  
des brevets**

**Bescheinigung**

**Certificate**

**Attestation**

Die angehefteten Unterlagen stimmen mit der ursprünglich eingereichten Fassung der auf dem nächsten Blatt bezeichneten europäischen Patentanmeldung überein.

The attached documents are exact copies of the European patent application described on the following page, as originally filed.

Les documents fixés à cette attestation sont conformes à la version initialement déposée de la demande de brevet européen spécifiée à la page suivante.

**Patentanmeldung Nr.    Patent application No.    Demande de brevet n°**

00127965.2

Der Präsident des Europäischen Patentamts;  
Im Auftrag

For the President of the European Patent Office

Le Président de l'Office européen des brevets  
p.o.

**I.L.C. HATTEN-HECKMAN**

DEN HAAG, DEN  
THE HAGUE,    23/01/01  
LA HAYE, LE